

DATE & TIME OF MEETING:	Thursday, 25 May 2017 @ 2pm
VENUE:	The Grand Meeting Room County Hall, Northallerton, DL7 8AD
Please confirm attendance by e-mail to deborah.wilbor@northyorks.gov.uk or telephone 01609 534416.	

Important information for those attending:

Declaration of Interests

Members of the Education Partnership who have an interest in an agenda item beyond the generality of the group they represent are required to declare the existence and nature of that interest to the Chair prior to the start of the meeting. *Further information can be found in paragraph 13 of the constitution of the North Yorkshire Education Partnership.*

Voting

Voting on proposals in relation to the school and early years funding formulae may only be undertaken by (i) those listed as "Schools' Members" on the Membership page of this agenda and (ii) the Early Years representative.

Where a phase-related de-delegation proposal requires a vote, only schools' members representing schools within that phase may vote.

All members are entitled to vote on proposals other than those relating to the funding formulae.

Observers cannot vote on any proposal brought before the Education Partnership.

Voting requirements will be clearly identified in the agenda item.

Information only reports

Reports marked for information only will not, under normal circumstances, be presented to the Education Partnership. Any comments or questions arising from the report should be directed to the Clerk who will either (i) seek a response from the author or (ii) request their attendance in order to respond directly to the members of the Education Partnership.

General Public

Meetings of the Education Partnership are public meetings

The Chair will request that any members of the public leave the meeting for items marked as confidential and which involve the likely disclosure of exempt information as defined in part 1 of Schedule 12A of the Local government Act 1972.

Further information can be found in paragraph 11 of the constitution of the North Yorkshire Education Partnership.

Agenda

Part 1: Procedural

Item	Title	Lead
1.1	Welcome and apologies	Chair
1.2	Membership update	Chair
1.3	Minutes from the previous meeting and matters arising	Chair
1.4	Notification of other urgent business	Chair

Part 2: School Improvement

Item	Title	Lead
2.1	A Learning Trust for North Yorkshire: Introduction & Background Presentation	Pete Dwyer Peter Addison-Child/ Jane Creasy
2.2	School Improvement Partnerships Reports (i) Early Years (ii) Primary (iii) Secondary – no update (iv) Special	Paul Brennan

Part 3: School Funding

Item	Title	Lead
3.1	School Funding update (verbal)	Anton Hodge
3.2	Profile of Providers delivering the extended 30 hour childcare entitlement - (to follow)	Andrea Sedgewick
3.3	Customer Reference Group update (verbal)	Anton Hodge / Ian Yapp

Part 4: School Organisation

Item	Title	Lead
4.1	Schools Condition Capital Programme 2017-18 Briefing	Andrew Dixon

Part 5: Future Agendas

Dates of future meetings	Title
14 Sept 2017	
19 Oct 2017	

Membership

Schools Members (30)			
Headteachers (17)			
Primary	Tammy Cooper	Ruswarp CoE VC Primary School	Jan 2020
Primary	Ian Clennan	Selby Community Primary School	Dec 2017
Primary	Rachel Wells	West Heslerton CE Primary School	Dec 2017
Primary	Ian Yapp	Riverside Community Primary School	Jan 2018
Primary	David Barber	Hambleton CoE Primary School	Aug 2019
Primary	Robert Campbell	Leeming RAF Community Primary School	May 2020
Primary	Jillian Baker	Barlby Community Primary School	May 2020
Primary	Vacancy		
Secondary	Mark McCandless	Ryedale School	May 2018
Secondary (IP Chair)	Rob Pritchard	St John Fisher Catholic High School	Apr 2019
Secondary	Vacancy		
Secondary	Vacancy		
Secondary	Vacancy		
Special	Marianne Best	Welburn Hall	Sept 2020
Nursery	Jane Pepper	Childhaven Nursery	Aug 2019
School Governors (8)			
Primary	Vacancy		
Primary	Vacancy		
Primary	Vacancy		
Primary	Ken Blackwood	Appleton Wiske Primary School	Oct 2019
Primary	Jim Martin	Newby and Scalby Primary School	Nov 2017
Secondary	Vacancy		
Secondary	Vacancy		
Secondary	Gerry Price	Bedale High School	Apr 2019
Academy Representatives (6)			
Primary	Helen Flynn	Hookstone Chase Primary School	Jan 2021
Secondary	John Barker	Skipton Girls' High School	Dec 2017

Secondary	Catherine Brooker	Stokesley School	Jan 2021
Primary	Jo Robinson	Lothersdale Primary School	Jan 2021
Special	Annette Fearn	The Woodlands Special School	Aug 2019
PRS	Catherine Farrell	The Grove Academy	Aug 2019

Pupil Referral Service Representative (1)

PRS	Les Bell	Selby PRS	Oct 2018
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Non-Schools Members (6)

Early Years	Sarah Moon-Gatford	Incy Wincys, Bedale	Jan 2021
RC Diocese	Vacancy		
CoE Diocese	Vacancy		
Unison	Stella Smethurst		Dec 2016
Teachers Unions	Chris Head		Dec 2019
16-19 Providers	Debra Forsythe-Conroy	Harrogate College	Aug 2018

Observers (4)

County Councillor	Patrick Mulligan	Lead Member for schools, 16-19 year old education and early years provision
County Councillor	Janet Sanderson	Lead Member for children's services, special needs, youth justice, youth service and adult education
EFA Observer	Keith Howkins	Education Funding Agency
	Stephen Payne	Teachers' Association
	Rick Weights	Chair – Primary Improvement Partnership

Vacancy Update:

Primary headteachers – 1

Secondary headteachers – 3

Primary governor – 3

Secondary governor – 2

Non-schools vacancies - 2

NORTH YORKSHIRE EDUCATION PARTNERSHIP

Date of meeting:	
Title of report:	Minutes of the Education Partnership – 15 March 2017
Type of report:	For information only
Executive summary: Including reason for submission	The minutes of the previous meeting of the North Yorkshire Education Partnership are presented for approval.
Budget / Risk implications:	N/A
Recommendations:	The minutes are approved as an accurate record.
Voting requirements:	N/A
Appendices: To be attached	N/A
Report originator and contact details:	Marion Sadler – Clerk to the NYEP Tel: 01609 532234 E-mail: marion.sadler@northyorks.gov.uk
Presenting officer: If not the originator	N/A

PRESENT:

Chair:	Ian Yapp
Primary Headteachers:	Robert Campbell
Secondary Headteachers:	Mark McCandless
Special Headteacher:	Kevin Goddard (sub for Marianne Best)
Nursery Headteacher:	Jane Pepper
Pupil Referral Service:	None
Academies:	Helen Wiseman (sub for Catherine Brooker), John Barker, Catherine Farrell, Helen Flynn, Jo Robinson
Governors:	Ken Blackwood, Jim Martin
Early Years Providers:	Sarah Moon-Gatford
16-19 Providers:	None
Diocesan Representatives:	None
Trade Unions:	Stephen Payne (sub for Chris Head)
Observers:	None
In Attendance:	Peter Dwyer, Anton Hodge, Sally Dunn, Howard Emmett, Andy Lancashire (for Paul Brennan), Amber Burton, Marion Sadler
Apologies:	Jillian Baker, Ian Clennan, David Barber, Cllr Janet Sanderson, Stella Smethurst, Tammy Cooper, Rick Weights, Debra Forsythe-Conroy, Rachel Wells, Catherine Brooker (Helen Wiseman attending as substitute), Marianne Best (Kevin Goddard attending as substitute), Cllr Arthur Barker, Cllr Janet Sanderson

713: WELCOME

The Chair welcomed everyone to the meeting.

714: MEMBERSHIP OF THE EDUCATION PARTNERSHIP

Vacancies still existed for Primary Headteachers (1), Secondary Headteachers (3), Primary Governors (3), Secondary Governors (2) and Diocesan representatives (2). Members were asked to publicise the vacancies amongst their networks and encourage nominations. A further advertisement would be circulated as there was a continued need for robust School Forum decision making. **ACTION: DAW**

715: MINUTES OF THE PREVIOUS MEETING

RESOLVED: That the minutes of the previous meeting be agreed.

716: MATTERS ARISING

The following Matters Arising were noted:

Minute 700 – Chairmanship of the Partnership

IY confirmed he had offered to chair the NYEP for the remainder of the academic year pending the outcome of the work to develop a Learning Trust model.

Minute 704 – School Block Budgets

The Partnership requested that a report providing an update on the work of the Prevention Service be brought to a future meeting. **ACTION: Julie Firth to produce.**

717: NOTIFICATION OF OTHER URGENT BUSINESS

There were no notifications of other urgent business for consideration.

Anton Hodge introduced Howard Emmett, Assistant Director, Strategic Resources to the Partnership. Howard would take on responsibility for social care and high needs budgets whilst Anton would continue to oversee education related budgets alongside his new interim role working with Health and Adult Services.

718: OVERALL DSG AND THE SCHOOLS BLOCK 2017-18

CONSIDERED: report, presented by Anton Hodge, setting out the overall DSG allocation and planned expenditure on the Schools Block Budget in 2017-18, following the endorsement of proposals regarding its distribution at the NYEP meeting in January 2017. Planned expenditure for the High Needs and Early Years Blocks was set out in separate reports on the agenda of this meeting.

It was noted that there had been a slight increase in the number of academies.

Stephen Payne expressed concern with regard to the amount of school leadership time which was now taken up developing restructuring proposals and potential redundancies due to the budget pressures being experienced and expressed concern as to the level of funding in schools in financial difficulties budget. Anton Hodge confirmed that this de-delegated funding would not be permissible under the new national formula funding arrangements.

Helen Flynn enquired about the position in relation to the £8M Education Services Grant. Anton confirmed that the funding, which was now the Central Block in the DSG supporting central functions, would continue. Transitional funding would be provided to academies to offset the loss of funding previously received via ESG. Clarity from Central Government was still awaited on precise detail. The LA had planned in expectation that the ESG would disappear and necessary savings had been programmed as part of the overall Council savings programme. Pete Dwyer indicated that the challenges of current and future school funding pressures were recognised both locally and nationally and lobbying was being undertaken at a national level. The LA had, from April 2017, delegated some elements of centrally managed and de-delegated elements returning some £1.9M back to schools.

Ken Blackwood expressed concern at the impact of the current DfE proposals and enquired as to what steps Elected Members and others could take to apply pressure for increased levels of school funding. Pete Dwyer indicated that Members had been active in lobbying through County Council Network, Local Government Association and the F40 Group.

RESOLVED: that the report be noted.

719: THE HIGH NEEDS BLOCK 2017-18

CONSIDERED: report, presented by Anton Hodge and Amber Burton summarising the expected budget and proposed expenditure for the High Needs Block in 2017-18.

The highest level of change was included in the High Needs Commissioning Budget (increase of £1.6m).

Amber Burton updated the Partnership on the work to review and refresh the CAN-Do resource allocation system. It was noted that there would also be significant changes to the funding for SpLD outreach aligned to work to restructure EMS and special school capacity levels with consequent savings reinvested into the High Needs Block. Anton Hodge reported on the transitional funding arrangements which would cease at the end of March 2017. It was noted that 99 schools would be impacted by this and, following receipt of final budget figures, it was proposed to provide one-off funding to those schools with impact of 0.2% of budget but more than £1K loss through the use of reserves. Anton also sought the Partnership's views on this proposal and asked that they also note the proposed reduction in contingencies as shown in section 6.0 of the report.

Amber briefed colleagues on the proposal to remove the high needs medical needs funding provided to four schools and move to a fund against which schools could then bid in relation to an individual child.

Jane Pepper expressed concern about difficulties being experienced in accessing support from other agencies to put additional support assessments in place for individual young people, particularly in relation to speech and language therapy eg no assessment had yet been undertaken for referrals made before October half term,. Amber indicated that the LA was reviewing its SEND assessment processes and would be working with health colleagues with regard to their pathways and processes. Pete Dwyer indicated that the LA had identified funding which would help address this deficit particularly through No Wrong Door and Scarborough Opportunities area funding.

Helen Flynn enquired about the Pupil Referral Service and number of commissioned statutory places. Amber confirmed that a formula across the local authority was used although individual cases were considered on their own merits but acknowledged that a review of the formula may be appropriate. Anton Hodge indicated that there was an intention to look further at this area of work over the summer term including the number of places to be commissioned. There was no intention to change the overall funding pot. Catherine Farrell indicated that the meeting between PRS and officers had been useful and welcomed the opportunity to work with the LA on this work over the next 12 months.

Robert Campbell enquired about the changes to SpLD EMS. Amber provided clarification as to the rebalancing of current provision to meet need and further development of criteria for referrals with clarification of the responsibilities of individual schools.

The Chair asked that it be made clear to those schools in receipt of transitional funding that this was an interim measure and should not be regarded as continued funding.

RESOLVED: That the Partnership endorse the proposals outlined in the report and above.

720: EARLY YEARS FUNDING UPDATE

CONSIDERED: report, presented by Sally Dunn, providing an update on work undertaken in response to the implementation of the Early Years National Funding Formula and early implementation of the 30 Hours Extended Entitlement in the Summer 2017 term and the review of the early years centrally managed service provision in order to meet the DfE funding limits of 7% in 2017/18 and 5% in 2018/19. The report also updated the Partnership on the Early Years DSG allocation and

associated planned expenditure in 2017-18 together with the work plan for developing a methodology for the distribution of the Maintained Nursery School Supplementary Funding.

A summary of the estimated provision of the extended 30 hour entitlement during the Summer Term 2017 was tabled for information.

Sarah Moon-Gatford expressed concern at the lack of clarity around arrangements when providers were expected to implement the 30 hour offer from the beginning of April. Pete Dwyer acknowledged the short period of time available for providers to plan for implementation and reminded colleagues that the DfE's invitation to implement from April was based on their wish to test out methodology in a rural area. Sally Dunn indicated that indications of provision from September were not yet available and that the LA was working on the basis of estimates at present.

The Chair stressed the importance of sharing information between LA/providers and between providers in order to develop knowledge rapidly. There was an urgent need for the LA to provide guidance as quickly as possible. Jane Pepper said that there were a number of influencing factors including the new early years funding formula which made the position both complex and challenging.

RESOLVED: That the Partnership note work to date.

720: NATIONAL FUNDING FORMULA CONSULTATIONS

CONSIDERED: report and presentation by Anton Hodge setting out the LA's proposed responses to National Funding Formula consultations in relation to schools and high needs budgets. The concerns to be highlighted included:

- That the funding gap would narrow only marginally
- Reversal of some former actions on funding of sparsity
- No needs led analysis underpinning the proposals
- Over half of North Yorkshire's secondary schools lose under the formula
- Loss of local flexibility in decision making.

A summary of the LA's proposed draft response was given and Pete Dwyer confirmed that the response had not been submitted and the Partnership's views were welcomed. It was intended to share the consultation with local MPs when submitted. Helen Flynn suggested that the response be strengthened in relation to the overall level of funding allocated and need for consideration of an increased financial envelope.

ACTION: that a copy of the presentation be circulated to Partnership members for information. AH

Thanks were expressed to Anton Hodge and colleagues for their work in developing the response to the consultation. Partnership members were asked to use their networks to invite national representatives to North Yorkshire for further debate on this issue.

RESOLVED: that the draft responses be noted and supported.

721: SCHOOL IMPROVEMENT PARTNERSHIPS

CONSIDERED: Andy Lancashire, representing Paul Brennan, presented the updates from the Improvement Partnerships as follows:

Early Years – Jane Pepper briefed colleagues on work to develop tools, through the use of “sustained shared thinking and emotional well being (SSTEWS) scales” to improve outcomes and emotional resilience. Jim Martin enquired about the gender gap in early years outcomes. Jane indicated that closing the gap funding had been allocated to develop strategies to address this gap.

Primary – Andy Lancashire talked about the close relationships with both Early Years and Secondary Improvement Partnerships. The Opportunities Area funding presented scope to work jointly on a geographically basis to improve outcomes. The development plan for 2017-18 would focus on school to school support and on collaboration. Good lines of communication had now been established with the Teaching School Alliances. The challenge remained around improving KS2 outcomes particularly in relation to mathematics and for disadvantaged pupils. Ken Blackwood enquired, in his role as a governor, as to the Partnership's communications strategy particularly with regard to development of peer review models. Andy confirmed that these were developing pieces of work on which schools' views would be sought in the near future. It was recognised that communication around specific projects to the wider schools community would be beneficial.

Secondary – Andy Lancashire presented an update on work and the development plan for 2017/18 making reference to work to identify "improvement champions" and build sustainable leadership capacity within the sector.

Special – Andy Lancashire reported that the peer review model was intended to be rolled out further over the next year.

Pete Dwyer expressed his appreciation for the work of the Partnerships and asked whether the challenges around recruitment and retention also were being addressed across other sectors. The Chair and Jane Pepper indicated that work was ongoing both in liaison with HR/workforce development and across sectors in this area of work.

Helen Flynn enquired as to the future financial sustainability of the Improvement Partnership arrangements. Anton indicated that the LA had committed funding until September 2018 but if the national funding formula arrangements were implemented there would be need to revisit current arrangements as some elements would no longer be available centrally. There may also be opportunity to bid for national school improvement funding.

722: DEVELOPMENT OF PROPOSALS FOR A LEARNING TRUST

NOTED: Pete Dwyer briefed colleagues on the proposed externally facilitated workshops to develop proposals, supported by the Partnership at its meeting in November 2016, for a Learning Trust which could include representation from early years, schools, FE/HE, LEP and employers. A report would be brought back to the Partnership for discussion once the outcomes of the workshops were produced.

ACTION: PD/PB to bring update to next meeting.

723: ANY OTHER BUSINESS

There was no further business raised.

724: FUTURE MEETINGS

25 May 2017

14 September 2017

19 October 2017



NORTH YORKSHIRE EDUCATION PARTNERSHIP

Date of meeting:	Thursday, 25 May 2017
Title of report:	
Type of report: Delete as required	For decision / discussion / information only
Executive summary: Including reason for submission	
Budget / Risk implications:	
Recommendations:	
Voting requirements:	Schools members only / Schools and non-schools
Appendices: To be attached	
Report originator and contact details:	
Presenting officer: If not the originator	

Early Years Improvement Partnership

Summary: The EYIP has continued its strategy of developing the capacity for improvement within the workforce with a further half-day training in the use of the SSTEW scales. Over 70 practitioners from schools and settings across the County are working in local clusters to develop skills in assessing quality of provision and practice to promote “sustained shared thinking and emotional well-being”.

Members of the Partnership Board received a presentation reporting on progress in co-ordinating 2-year-old health reviews with 2-year-old progress checks in education setting, and links between health visitors and EY settings. There is uneven development across the LA. The officer responsible for commissioning health services is due to attend the May meeting and further questions will be raised regarding Speech and Language Therapy services.

End of key stage results and analysis (include comparisons with other LAs, statistical neighbours, national, improvements, decline).

Systems are now in place for the collection of 2-Year-old progress data. Networks and moderation meetings are now in place across the county. 41% of settings and school returned 2YO data, showing the priority aspects of need for funded 2-year-olds in the different areas:

North: No funded girls below typical levels. For boys, main concerns are communication and language and health and self-care.

South: More funded girls below in all areas. For boys priorities are communication and language and health and self-care.

East: Boys priority in speaking, health and self-care and managing feelings and behaviour.

West: For funded girls the priority areas are health and self-care and managing feelings and behaviour. For boys the priority is communication and language.

Central: For funded girls the priority concerns are managing feelings and behaviour and speaking.

Closing the gap work is currently targeted at areas for development identified at the end of the key stage but can increasingly focus on aspects of need identified at an earlier stage.

Ofsted outcomes (Up to March 2017)

One setting in the East and one in the West were judged inadequate during March. All other settings are at least “good”.

Childminder ofsted judgements are as follows:

North : 97% good or above

East : 98% good or above

South: 98% good or above

West: 97% good or above

Central: 92% good or above.

Priorities of the Improvement Partnership for 16 -17

The Partnership Board has continued to focus on developing capacity for self-improvement through training and the deployment of EY leading teachers and practitioners, alongside Consultants and Early years Improvement advisers.

Links between Early Education settings and health practitioners are being investigated in the context of locality closing the gap meetings and at the Partnership Board level.

Funding and Policy changes (notably the 30 hour extended entitlement for 3 and 4-year-olds) are a major concern for all Early Years providers and the Partnership Board members have discussed the implementation plans with officers.

The Early years Improvement Plan will be reviewed and revised during the summer term.

Key activity, resources and impact.

There is an underspend of £38,536 for 2016-17, which can be carried forward, along with the underspend from 20 15-16 of £36,000

It is proposed that for 2017 – 18 there is an allocation of £50,000, which will give a total of £125,000.

Key activity will be to support the role of Early Years leads, closing the gap locality projects and to invest in quality assurance for the implementation of the SSTEWS tool to support quality improvement.

The strategy for closing the gap for children with SEN is still to be developed.

The “gap” for service children is being addressed through “emotional first aid training” which has been accessed by members of the prevention service which will be delivered to EY settings during the summer term.

PETE DWYER

Corporate Director – Children and Young People's Service

Primary Improvement Partnership (May 2017)

Priority 16-17 (Academic Year)	PIP Activity so far	Cost	Context/Impact so far
Overall budget is £830149 plus £342332 from 15-16 TOTAL £1172481	Specific School Support	£290000	<p>February 2017</p> <p>90.6% of Primary schools are good/outstanding (rank 92/152) (National average 90.5%)</p> <p>91.7% of pupils attend good/outstanding primary schools (rank 76/162) (National average 90%)</p> <p>Accurate risk assessment and brokered support from TSAs, MATs and Schools.</p> <p>May 2017</p> <p>88.7% of Primary schools are good/outstanding (National average 89.6%)</p> <p>90.1% of pupils attend good/outstanding primary schools (National average 89.1%)</p> <p>Recent inspection reports appear to be showing a significant reduction in the number of schools being graded as good or outstanding nationally, and consequently the percentage of primary schools locally that fall into this category reduced during the final quarter of the academic year.</p> <p>Accurate risk assessment and brokered support from TSAs, MATs and Schools.</p> <p>1:1 coaching for HTs re SEF.</p> <p>HTs reporting positive impact of this work.</p> <p>Accurate SEF and increased confidence going into inspection.</p>
	Running costs	£11000	<p>Chair likely to be from within from January 17</p> <p>Rick Weights elected as Chair from 01.01.17 (HT at Monk Fryston C.E. Primary)</p> <p>Supply costs for member attendance and Chair's role/coordination.</p>
	Building Capacity Grant for TSAs	£240000	<p>Depending on impact of first tranche and final amount confirmed.</p> <p>£30K per Primary TSA/Alliance (x 8)</p> <p>(Polaris, North Star, Esk Valley, Scarborough, Dales, CASTLE, Swaledale and STAR)</p>

	PIP Ambassadors	£16000	£2K per TSA to promote the work of the PIP within local TSA areas and develop LLE & SLE capacity.
	Peer Review Project	£21903 £7680 £48000 £20000	Skipton Area Primary Schools/ISOS/NET Training (November 2016) Initial round of peer reviews begun. Improvement Champions and Lead Reviewers identified. Next Training (15/02/17) To support release of peer review teams and improvement champions across the project (January – December 2017) Swaledale Alliance – pilot reviews completed. Training with STAR Alliance and Esk Valley TSA
	EY Assessment costs	£5000	
	Strategic Coaching(Scarborough/Catterick)	£7000	HTs/Chairs/SLT of targeted schools to receive strategic coaching in preparation for Ofsted.
	Maths project (Scarborough/Catterick)	£47500	'Maths No Problem' – targeted schools with large cohorts given access to Singapore maths approach. Training attended by schools. Increased links with Maths Hubs and provision of 'mastery' Lead Teachers within NY.
	Esk Valley TSA Health and Well Being project	£25000	20 schools to take part and embed a culture of academic resilience across school, so that children cope better with challenges to their emotional health.
	Website compliance	£20000	All schools compliant for Ofsted inspections Post appointed within Swaledale Alliance for 01.01.17. Work directed towards schools vulnerable to inspection over the next three terms (on-going).
	Collaborative Leadership grants	£10000	Ripon Rural cluster £5k Goldsborough/Spofforth/Follifoot/Sicklinghall £5k
	ISOS	£5600	PIP planning day
	Service Pupils	£20000	In year assessment. Lead Adviser support for Service Pupils
	Fischer Family Trust	£22560	Licence renewal for 17/18
	Emotional First Aid project	£20000	Resilience Project for Service families. Training parents and school staff to support families.

Primary Improvement Partnership

	TSA/LA common CPD offer	£20000	CPD offer to support staff from NQT to Headteacher from NYPTSA and LA.
Total spend		£857243	
Balance		£315238	Will be further commitments in summer term for specific school support (£200K).

[illegible]

Special Improvement Partnership

Priority 15-16 Overall budget	Activity so far	Cost £44,272	Impact so far
Establish SSIP	<ul style="list-style-type: none"> Chair identified Terms of reference agreed Meeting cycle established Varied programme of agenda topics & invited speakers e.g. academisation, special school data dashboards Summary of areas of expertise/specialisms produced with contributions from all the schools First draft of SSIP development plan priorities produced 	£8,349	<ul style="list-style-type: none"> Members receive regular updates on national & regional special school developments/pilots via chair (Headteacher, Ofsted Inspector and involved in a wide range of national and regional programmes). Some of this practice already being implemented within some schools. Regular opportunity for all NY special school heads to meet and to contribute to identification of special school improvement priorities and to related discussions – regular attendance by the majority. Sharing of practice and strategies, including identification of areas of expertise/specialisms within each special school and offer of school to school support related to these - leading to enhanced awareness of practice/capacity/support available across the county
Establish Peer Review Cycle	<ul style="list-style-type: none"> Initial discussions to establish the framework for the peer reviews – agreed that this could either be ‘mocksted’ or customised in depth focus on key development areas Special education specialist (former HMI) commissioned to lead peer reviews First peer review undertaken by commissioned lead, peer 	£1,977	<ul style="list-style-type: none"> School A – due Ofsted inspection – experienced ‘mocksted’ type review Key development areas/actions identified Headteacher very positive about experience/usefulness of the process – feedback to the SSIP Ditto peer Headteacher part of the review team School governors identified need for further development in their role in monitoring and challenge and within the Ofsted inspection process – follow-up support arranged via Inclusion and E&S Lead Advisers

	Headteacher and Lead Adviser: SEND <ul style="list-style-type: none"> Schedule of peer reviews compiled – all schools 		
Total Cost		£10,326	
Carry forward		£33,946	

There have been no special school Ofsted inspections since July 2015:

4 schools currently on an 'outstanding' Ofsted judgement, 5 at 'good' and 1 'requires improvement'

Priority 16-17	Activity so far	Cost	Impact so far
Overall budget (inc. c/f)		£81, 680	
On-going meetings of the SSIP	<ul style="list-style-type: none"> Meetings October, February, May Development plan priorities & actions finalised 	£8,000 (running costs for the academic year, including commissioning of external chair)	<ul style="list-style-type: none"> Enhanced awareness of Ofsted safeguarding focus as a result of discussions – clarification sought Recent Ofsted experience shared by chair (Leeds special school principal) – NY schools due inspection more aware of process for special school
SP1: To improve the access and support for the special schools from the health commissioning services, and health and social care teams, and Disabled Children and YP services.	<ul style="list-style-type: none"> Area discussion re. health provision have taken place (this was driven by 4 special schools) <i>Planned activity:</i> <i>Wider meeting involving range of partners</i> <i>Identify and share what support each school receives to determine if support is accurate and fair.</i>	Resource to deliver presentation / meeting time / hiring of venue – approx. £500 <i>Costs to be agreed at next meeting</i>	<ul style="list-style-type: none"> commissioning of specialist school nurse provision in the East of the County for next year – this was previously a gap
SP2: To ensure our schools can evidence all aspects of pupil progress from 2-19. Including academic and 'softer' non-academic progress e.g. resilience measures,	<ul style="list-style-type: none"> Headteachers invited to regional conference focusing on Rochford review - feedback discussed <i>Planned activity:</i> <i>Invite Diane Rochford to speak to North Yorkshire Special</i> <i>Share internal best practice and invite external schools/ providers to share how they assess softer skills.</i>	Conference facility at approx. £1000 / cost of Diane Rochford (approx. £1500). Meeting time to plan conference – 2 x ½ days - £400 per HT	<ul style="list-style-type: none"> Shared discussion around Rochford report and assessment practice in schools, including discussions about the national consultation on recommendations for assessing primary school pupils working below the standard of the national curriculum tests Most North Yorkshire special schools have reviewed their assessment systems for measuring the progress of children and young people who are not able to access a subject-based curriculum .

tolerance, independence	<p><i>Identify what schools are using locally and nationally e.g. NARP, etc.</i></p> <p><i>Establish a cycle of moderation and benchmarking at local level and nationally.</i></p> <p><i>Analyse progress data to inform success of post-school destinations</i></p> <p><i>Share post-school destinations to achieve success criteria and inform best practice</i></p>		<ul style="list-style-type: none"> • Consensus not to move from 'p' level assessment until national guidance
<p>SP3: To develop leadership capacity and sustainability, including governance, to promote school improvement and tackle educational challenges</p>	<p>Enhanced induction programme</p> <ul style="list-style-type: none"> • summary contact information produced • focused meetings with key professionals • allocation of peer mentor support <p>Support identified and agreed for 1 school at priority 2 category – evidence of effective progress</p> <p>9 out of the 10 special schools have participated in the peer review process, receiving a peer review (8 schools) and/or acting in the capacity of peer reviewer. All 8 reviews were led by ex HMI consultant and also involved the LA Lead Adviser SEND.</p> <p>Two middle and senior leadership networks have taken place – focus on quality assurance of Teaching, Learning & Assessment.</p> <p>PSHE network scheduled</p> <p><i>Planned activities focusing on each of the following:</i></p>	<p>£3,000 (for peer mentor support and exchange visits) 3 schools with new HTs - all first headship</p> <p>£3,000 To fund coaching and cpd support as part of support plan</p> <p>Consultant fees of £1800 per peer review visit (7 this academic year - plus travel expenses)</p>	<p>Feedback from new headteacher re induction</p> <p><i>'...offers me exactly what I need to feel that there is a robust structure in place to offer strategic support but also to give a strong feeling of coming into this role with a network of support around me, of names and faces I can reach out to.... ask questions as they present.'</i></p> <p>Feedback from Headteachers re peer review:</p> <p><i>'We have already put things into action regarding data analysis, and it was clear to us immediately that we have gained so much from the day and from each of your contribution - a really really worthwhile process'</i></p> <p><i>'Both myself and all the school staff have found this process to have huge value and I thank you again for the time, consideration and your way of working that ensured that all staff got the most out of the day.'</i></p> <p>A feedback survey is being undertaken which will enable quantitative analysis.</p> <p>So far headteacher oral feedback that they have found the process valuable and wish to continue with peer review programme next academic year, though potentially with different commissioned support depending on focus of each review.</p>

	<ul style="list-style-type: none"> a) <i>package of school to school support</i> b) <i>an induction package for new headteachers</i> c) <i>school led systems/outreach support</i> d) <i>work with teaching school alliances</i> e) <i>leadership capacity at all levels and expertise of governance</i> f) <i>recognising the growing complexity of pupils and exploring initiatives that will help meet their educational and well-being needs.</i> 		Feedback has also commented on the value of both receiving a review and on acting as a peer reviewer.
SP4: To understand the LA's strategic direction of SEN provision and explore the position of special schools within the context of academisation.	<p><i>Planned activities focusing on the following:</i></p> <p><i>Exploring the position of special schools within the context of academisation.</i></p> <p><i>Working with the LA to understand the strategic direction of SEN and the rationale behind the NY Special School offer.</i></p>		

NORTH YORKSHIRE COUNTY COUNCIL
EDUCATION PARTNERSHIP
Thursday 25th May 2017

**UPDATE ON THE EARLY ROLL-OUT OF THE 30 HOUR EXTENDED
ENTITLEMENT FOR 3 AND 4 YEAR OLDS**

3.2.1 Background

The Government's election manifesto promise for working families included the commitment to extend the funded early years entitlement for three and four year olds from 15 hours per week to 30 hours. Following a number of early implementer trials during the autumn term 2016, the DfE requested that four additional local authorities including North Yorkshire roll out this new entitlement in advance of national implementation to fully fund all eligible children across the county during the summer term 2017.

The purpose of this extended trial is in order to test the implications for delivery:

- in a large rural area
- by a large numbers of providers
- for large numbers of children and families

3.2.2 Preparations

The early years policy and finance teams re-scheduled eight months of preparations planned for September implementation into an eight week period from mid-January to mid-March 2017 in order to support schools and early years providers to make preparations to deliver summer term places for eligible working families.

These have included:

- 11 policy briefings delivered by the Head of Early Years and the Head of Finance attended by more than 500 setting leaders and managers, Headteachers, childminders and OOSc providers
- 16 business support workshops delivered by the early years Business Support Team, attended by 287 delegates
- 24 locality partnership planning events facilitated by Childcare Works – the organisation contracted by government to monitor and challenge Local Authority delivery of the new entitlement.
- Presentations to Early Years Leadership Forums and Childminder Forums, the Early Years Improvement Partnership, Primary Leadership Networks and the Schools Finance and Admin Conference.
- A briefing paper for MPs and Elected Members
- Responses to FAQs with clarifications sought from the DfE
- Creation of a 30 hour cyps.info website for providers, and parents' pages on the county website
- Press and radio coverage
- Information to CYPS service teams – HR, Strategic Planning, and to Health partners
- FIS responses to parental enquiries

3.2.3 Analysis of summer term provision

Data to predict place supply has been collected from:

- March estimates submitted to the early years finance team by providers and schools

- Questionnaire surveys undertaken with attendees to spring term leadership forums and childminder forums in April – with Early Years Consultant follow-up with non-attendees
- Sufficiency question responses analysed from provider portal entries – May.

The analysis of provider estimates submitted early in March 2017 identified that overall, 59% of schools and early years providers intended to participate in the programme during the early roll-out term which began on April 24th. The geographical spread of this is captured in Table 1 to summarise the percentages of providers in each area who submitted estimates of anticipated funded hours for the summer term.

Table 1

	Maintained nursery class / school	Governor-run provision by schools	Academy	Daycare Nursery	Pre-school / playgroup	Nursery class in independent school	Childminder
% responses of the total in each provider type	43%			79%	63%	27%	56%
Harrogate	33%	100%	67%	41%	48%	12%	32%
Craven	28%		100%	61%	88%	50%	45%
Selby	27%	100%	50%	59%	79%		30%
Ryedale	26%			45%	67%		35%
Coast	48%		100%	70%	78%	50%	34%
Hambleton	46%	50%		59%	53%		30%
Richmondshire	38%	100%		75%	38%		35%

The analysis of the questionnaires undertaken with PVI settings and childminders indicated that 82% (208 out of 254) were planning to offer the extended entitlement by the end of April. This represented an increase of 15 percentage points from the estimates of this part of the sector submitted in March. This reflected the sector responses to parental requests for provision. These were mainly for children who were already settled with their provider, rather than new families seeking to access their new entitlement.

Actual delivery data will be available from the analysis of headcount submissions at the end of June. The analysis of the responses to the sufficiency questions from provider portal entries (which are required from all providers before headcount payments are made) will be undertaken during May. Our hope is to gather 100% responses from this new methodology in order to have a comprehensive picture of 30 hour provision across all provider types for all areas of the county.

3.2.4 Non-participation in early roll-out

The main reasons cited by early years providers for not participating in the summer term 30 hours provision include:

- funding rates being too low to compensate for reduced income for the additional 15 hours
- not having capacity or being able to be flexible enough to offer the extended entitlement – especially that required for a stretched entitlement
- little demand from parents for new or different provision as families were mainly switching from fee paying to government funded hours this term
- venue limitations for sessional providers

The main reasons cited by schools include:

- the short 'lead in' time
- the need to clarify delivery models, eg. across lunch periods,
- capacity to extend places during an already full summer term
- the need to develop partnership provision with local childminders or OOSc providers in order to meet parents' needs
- no parental demand for additional or different provision for nursery class pupils
- unwillingness to develop provision which may de-stabilise existing local providers

Those cited by childminders have included:

- no additional spaces this term
- little different demand from parents
- fears of establishing a model of provision which cannot be sustained when funding rates are cut in the autumn
- reluctance to employ an assistant to increase capacity in the short term, due to fears of not being viable for the longer term.

3.2.5 Partnership delivery

Schools and early years providers have been asked about current partnership delivery models and their interest in developing new or additional shared provision for future terms. Work is on-going to identify the locality detail of this provision and to scope opportunities to support further developments.

Six out-of-school providers attended the briefing event to outline the requirements to offer early years provision as an extension to current childcare provision for statutory school age pupils. These groups are currently being supported by the early years consultants to take this forwards.

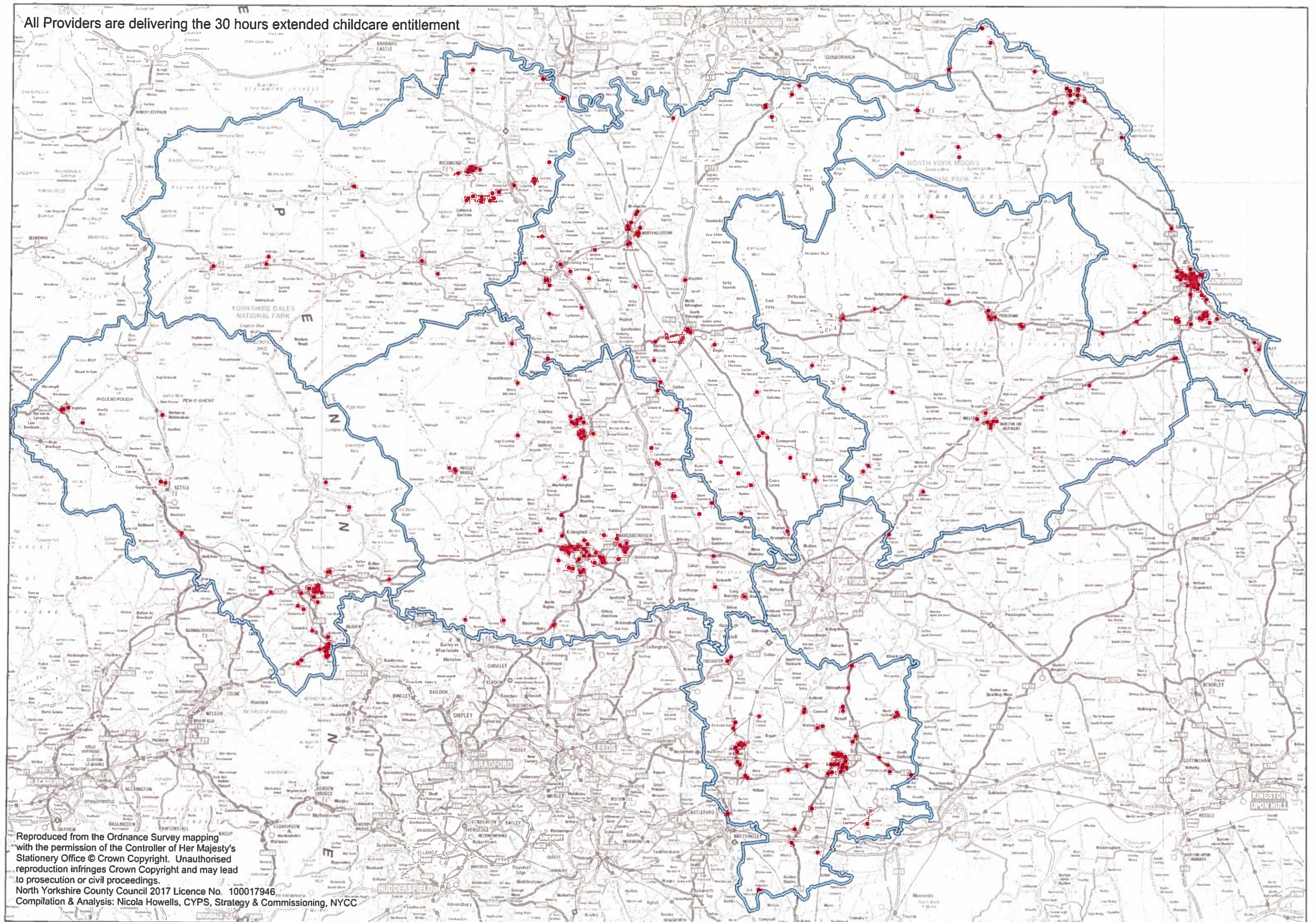
3.2.6 Preparations for national roll-out in September 2017

The HMRC on-line application process for autumn term places opened to parents on the 28th April. In coming weeks, the validation process will provide us with an indication of place demand in each area of the county.

An on-line school and provider survey will be undertaken at the end of June to identify intentions for autumn term provision. Bringing these two intelligence sets together will enable us to assess locality sufficiency and to identify any potential areas of place shortages.

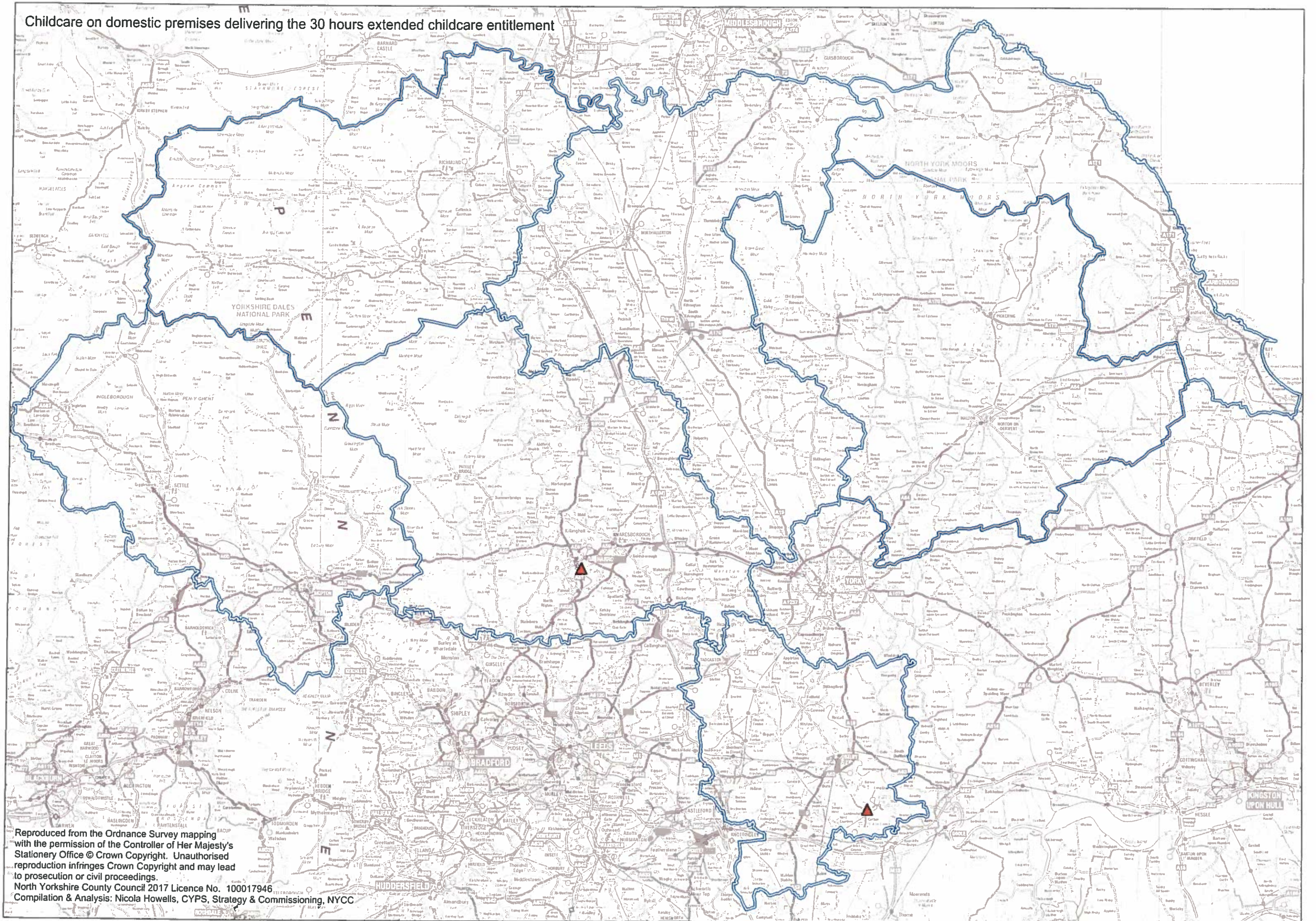
The most significant risk to the successful implementation of this new entitlement in the autumn term is the need to apply the new funding rates defined by the Early Years National Funding Formula. This will bring a significant reduction to the hourly rate funding for both schools and childminders, and there continues to be a high level of provider and school anxiety and uncertainty about the implications this will have.

All Providers are delivering the 30 hours extended childcare entitlement



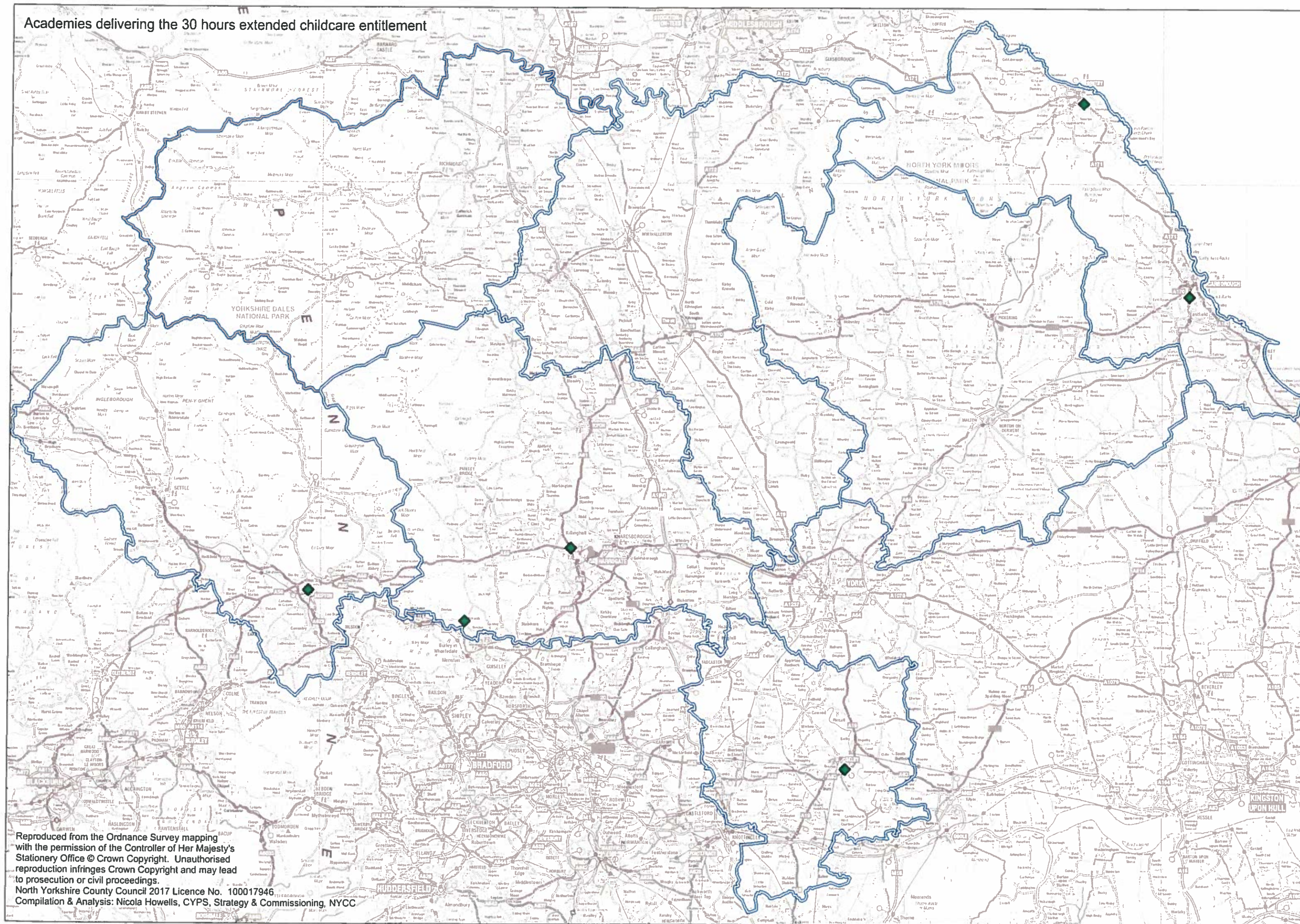
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Childcare on domestic premises delivering the 30 hours extended childcare entitlement



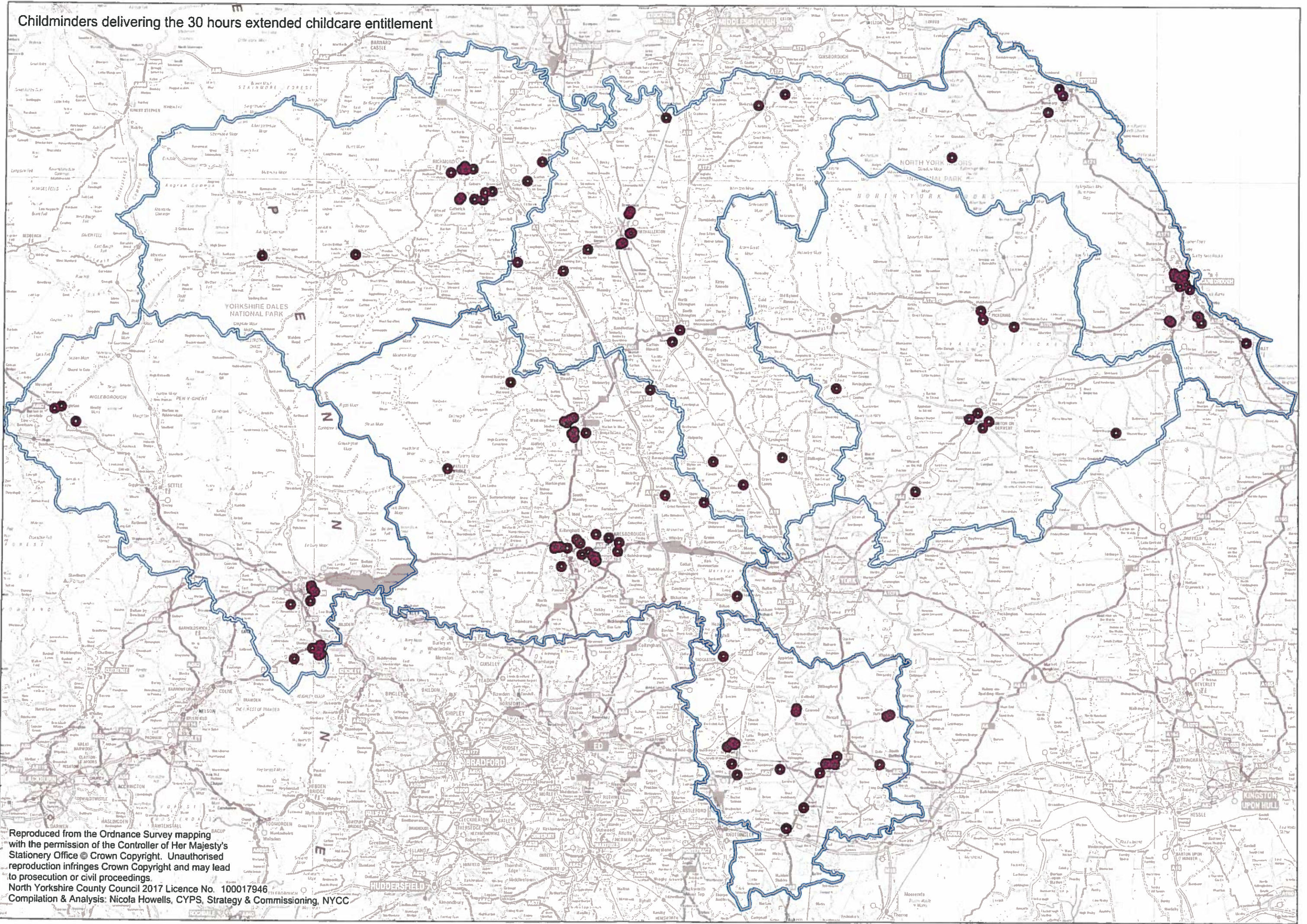
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Academies delivering the 30 hours extended childcare entitlement



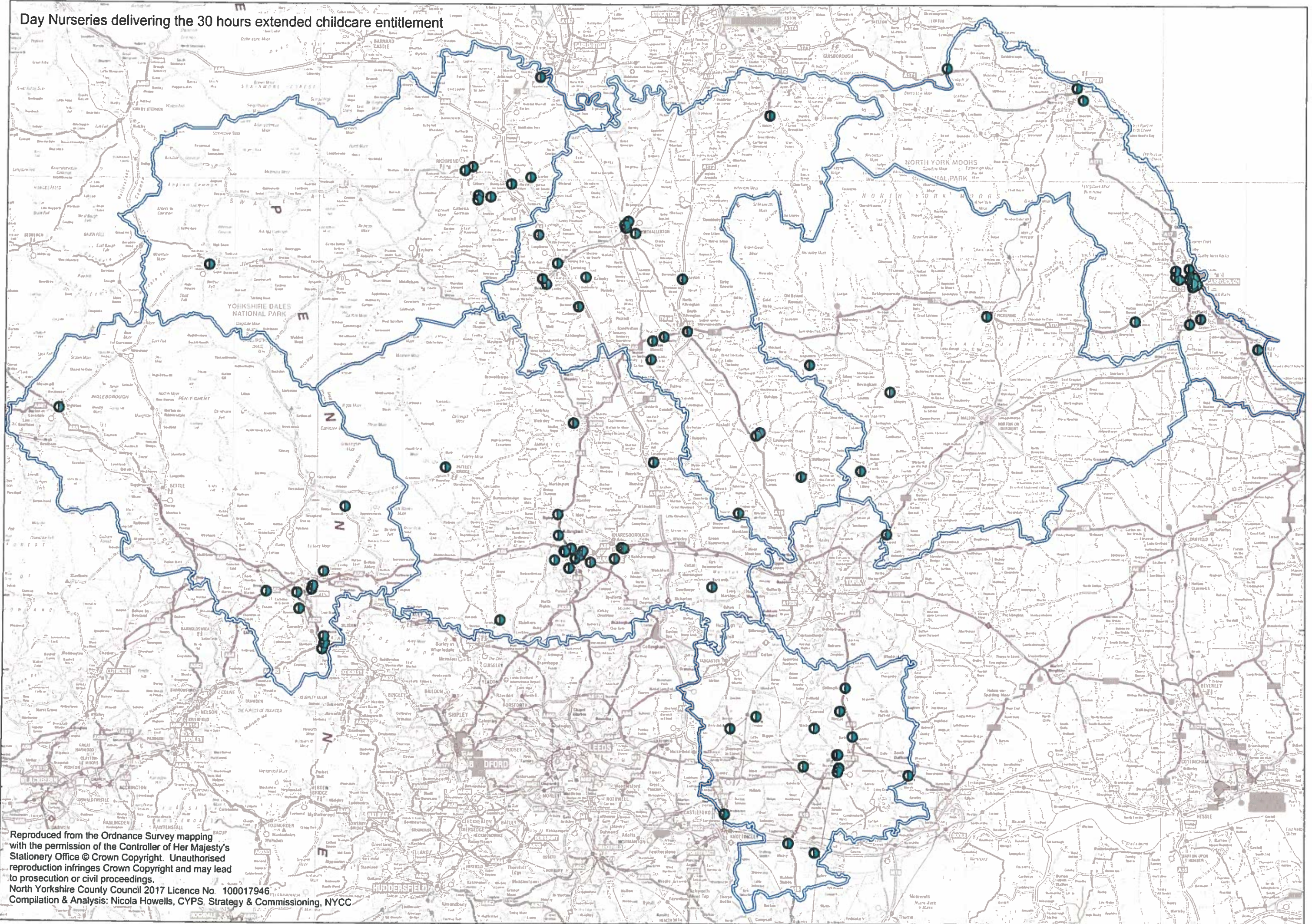
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Childminders delivering the 30 hours extended childcare entitlement



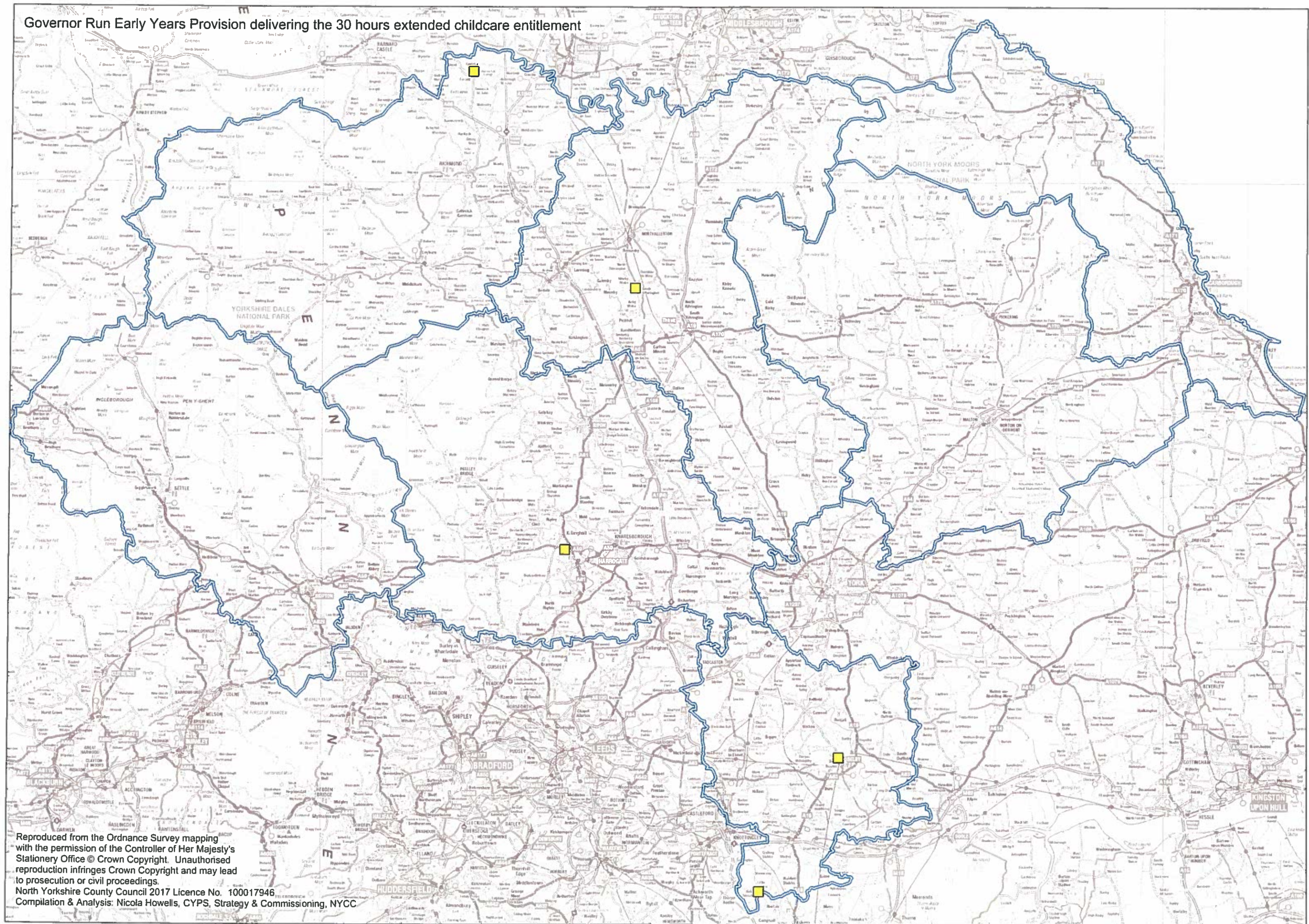
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Day Nurseries delivering the 30 hours extended childcare entitlement



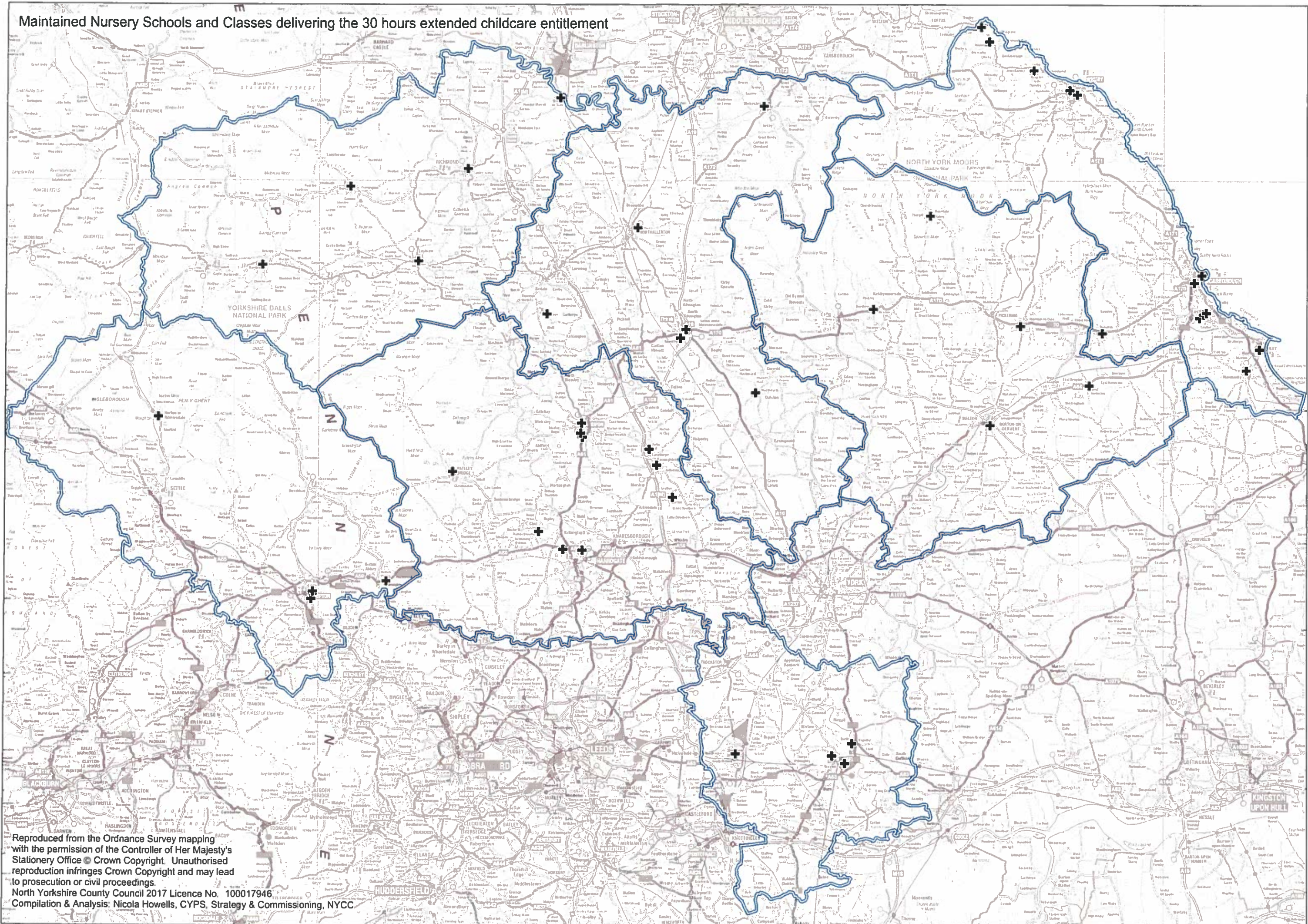
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Governor Run Early Years Provision delivering the 30 hours extended childcare entitlement



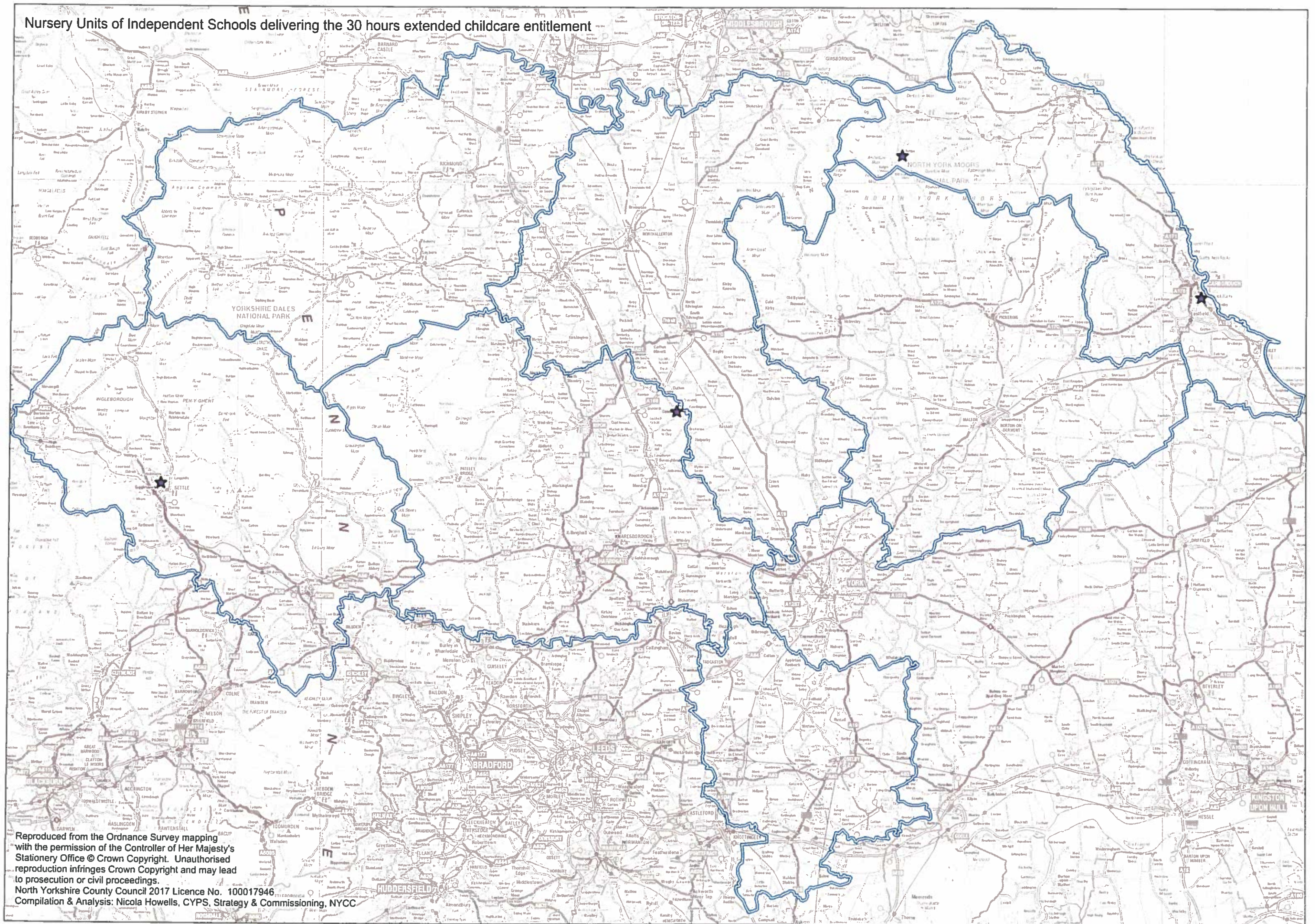
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Maintained Nursery Schools and Classes delivering the 30 hours extended childcare entitlement



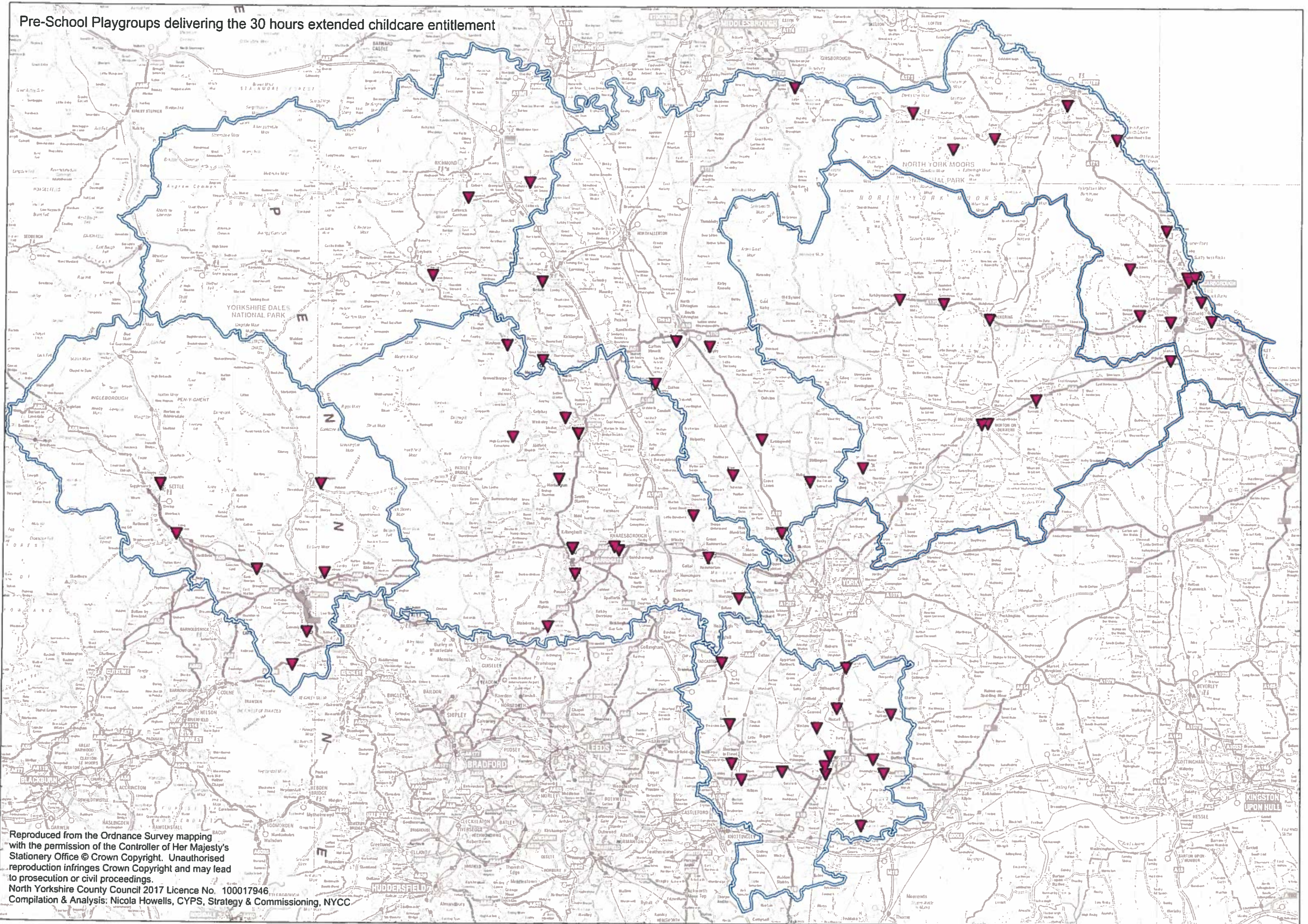
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Nursery Units of Independent Schools delivering the 30 hours extended childcare entitlement



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Pre-School Playgroups delivering the 30 hours extended childcare entitlement



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ITEM 4.1

Date of meeting:	Thursday 25 May 2017
Title of report:	SCHOOLS CONDITION CAPITAL PROGRAMME – 2017/18
Type of report: Delete as require	For information
Executive summary: Including reason for submission	To brief the Education Partnership on the proposed schools condition capital programme for 2017/18
Budget / Risk implications:	
Recommendations:	To note the report
Voting requirements:	
Appendices: To be attached	Report to the Executive – 13 June 2017
Report originator and contact details:	Andrew Dixon, Strategic Planning Manager
Presenting officer: If not the originator	

NORTH YORKSHIRE EDUCATION PARTNERSHIP

1.0 PURPOSE OF THE REPORT

- 1.1 To brief the Education Partnership on the proposed schools condition capital programme for 2017/18.

2.0 BACKGROUND

- 2.1 The attached report will be considered by the Executive on 13 June 2017. It proposes a series of capital investments in schools for 2017/18 including capital maintenance and compliance\regulatory requirements and strategic priorities.
- 2.2 In announcements made in April 2017 the DfE allocated Schools Condition funding totalling £11,940,188 to North Yorkshire County Council for 2017/18. Furthermore, in announcements made in May 2017 the DfE allocated Devolved Formula Capital (DFC) for individual schools in North Yorkshire for 2017/18 totalling £2,220,711. LA schools were allocated £1,891,733, with VA schools allotted £328,978.
- 2.3 This is a further one year allocation. A one-year programme for 2016/17 was approved by the Executive in May 2016 and is currently being delivered. It is monitored through the quarterly capital report.
- 2.4 This is the last year of a three year indicative allocation. There is no indication what level of School Condition funding the County Council might expect for schools in 2018/19 or thereafter.
- 2.5 The total capital funding available for 2017/18 is £12,481,889 which includes carry forward from the 2016/17 budget and the estimated schools DFC contribution towards the maintenance programme.
- 2.6 A programme for investment of £1,289,346 (90% terms) in voluntary aided schools which the local authority coordinates on behalf of Dioceses and non-Denominational Aided schools was approved on 28 March 2017.

3.0 RECOMMENDATIONS

- 3.1 To note the report.

PETE DWYER

Corporate Director – Children and Young People's Service.

Appendices B and C of this report contain information of the type defined in paragraph 3 of Part 1 of Schedule 12A Local Government Act 1972 (as amended).

NORTH YORKSHIRE COUNTY COUNCIL

EXECUTIVE

13 June 2017

SCHOOLS CONDITION CAPITAL PROGRAMME – 2017/18

Report of the Corporate Director – Children and Young People's Service

1.0 PURPOSE OF THE REPORT

To seek Executive approval for the Schools Condition Capital Programme 2017/18.

2.0 EXECUTIVE SUMMARY

- 2.1 In announcements made in April 2017 the DfE allocated Schools Condition funding totalling £11,940,188 to North Yorkshire County Council for 2017/18. Furthermore, in announcements made in May 2017 the DfE allocated Devolved Formula Capital (DFC) for individual schools for North Yorkshire for 2017/18 totalling £2,220,711. LA schools were allocated £1,891,733, with VA schools allotted £328,978.
- 2.2 This is a further one year allocation. A one-year programme for 2016/17 was approved by the Executive in May 2016 and is currently being delivered. It is monitored through the quarterly capital report.
- 2.3 This is the last year of a three year indicative allocation. There is no indication what level of School Condition funding the County Council might expect for schools in 2018/19 or thereafter.
- 2.4 Funding is provided separately for the provision of additional school places (through the Basic Need Grant). A £58m programme was approved by the Executive on 30 September 2014. A further £1m of grant was allocated for 2017/18 in an announcement in February 2015. There was no further Basic Need funding for North Yorkshire for 2018/19. The three year Basic Need programme running from April 2014 to March 2017 was reviewed and proposals for investment in additional places up to 2018/19 were agreed by members in May 2016. A further £4.435m of Basic Need grant was announced in April 2017 for 2019/20. Priorities for places beyond September 2019 will be brought forward when the programme is reviewed in early 2018.
- 2.5 The amount of funding allocated to the LA to meet the condition needs of maintained school buildings will continue to reduce as schools convert to academy status.
- 2.6 This report proposes a one year programme of investments to address the condition and suitability needs of school buildings in 2017/18.

- 2.7 A programme for investment of £1,289,346 (in 90% terms) in voluntary aided schools which the local authority coordinates on behalf of Dioceses and non-Denominational Aided schools was approved on 28 March 2017.

3.0 GOVERNMENT ANNOUNCEMENTS

- 3.1 Schools Condition grant for 2017/18 was announced on 3 April 2017.
- 3.2 The Schools Condition grant is part of the Single Capital Pot and may be used for all local priorities, although they are intended specifically for investment in schools (and notionally for children's centres). Schools Condition funding does not have to be used strictly for maintenance; it is the only capital funding stream available to support other capital improvement works e.g. compliance, suitability, invest to save projects or investment required to address strategic service priorities.
- 3.3 Academies receive their funding for condition related investment and devolved capital direct from the Education and Skills Funding Agency (ESFA) so are not included in these figures. The amount deducted from Schools Condition grant for 2017/18 is based on open academies at the beginning of November 2016 and those expected to open on or before 31 March 2017. This means that no projects have been included in the proposed 2017/18 programme for any schools which were expected to convert by 31 March 2017 (including those where conversion has been delayed). It is proposed that where projects have been included in the programme but schools later decide to convert, a judgement will be made about whether the scheme should proceed or not. This will take account of the nature and value of the project, the stage of design development reached and the extent to which the project will extend beyond the date of conversion. This reflects the policy that schools convert to academy status in their existing condition.
- 3.4 Academies can bid for capital funding to the Academies Condition Improvement Fund (CIF). The window for CIF applications for 2017/18 closed in December 2016 and the next bidding round will be later in 2017 for 2018/19. School buildings will continue to be maintained safely for as long as they remain maintained by the local authority. This approach is consistent with DfE arrangements for Voluntary Aided schools which convert.
- 3.5 The allocation is once again 100% grant funded (no borrowing approvals or PFI). There is no time-limit on expenditure so can be carried forward if unspent at the end of the financial year without risk of claw back. The following tables compare the allocations over the past three years:

Capital Funding Allocation – LA Schools (Community, Voluntary Controlled and Foundation)

	2015/16	2016/17	2017/18
LA Schools Condition Allocation	£13,193,302	£12,491,584	£11,940,118
Devolved Formula Capital (LA Schools)	£2,105,428	£1,980,062	£1,891,733

Capital Funding Allocation – VA Schools

	2015/16	2016/17	2017/18
VA Schools Condition Allocation	£1,394,565	£1,283,861	£1,289,346
Devolved Formula Capital (VA Schools)	£365,039	£326,282	£328,978

- 3.6 Funding for VA programmes is made on the basis of 90% with the remaining 10% the responsibility of governors.
- 3.7 There is no indication at this stage of capital funding for condition beyond 2017/18. It is difficult to estimate the scale and speed of academy conversions and therefore the potential level of reduction year on year.

Other Funding Streams for Condition Related Investment

- 3.8 The Department for Education is providing £215m of special provision fund allocation for the financial years 2018/19 to 2020/21. These special provision fund allocations announced on 4 March 2017 are to support local authorities to make capital investments in provision for pupils with special educational needs and disabilities. Local authorities can invest in new places and improvements to facilities for pupils with education, health and care (EHC) plans in mainstream and special schools, nurseries, colleges and other provision. The allocation for North Yorkshire is:

2018/19	2019/20	2020/21	Total
£166,667	£166,667	£166,667	£500,000

- 3.9 Consideration is currently being given to priorities for investment in special schools from this new funding stream and schemes will be agreed when a programme for 2018/19 is proposed early next year.

4.0 IMPACT OF EDUCATION REFORM

- 4.1 On 17 March 2016 a White Paper entitled 'Educational Excellence Everywhere' was published indicating the intention that all schools should become academies by 2020 or have a plan that would see them convert no later than 2022.
- 4.2 The White Paper signalled the creation of a new duty on local authorities to facilitate conversion, with existing duties on asset management to continue until such point as all schools have converted.
- 4.3 Subsequent announcements by the Secretary of State rowed back from the element of compulsion for all schools but schools continue to convert. Increasingly this is in the form of Multi Academy Trusts where a number may convert simultaneously. As schools convert the local authority's Schools Condition allocation will continue to reduce. This will continue to have an impact on flexibility within the programme to address strategic investment needs.

5.0 CAPITAL PLAN 2016/17

- 5.1 The programme historically includes a small amount of general contingency which helps to address high tenders and to respond to emerging condition issues. It is proposed that the unallocated 2016/17 general contingency of £10,000 should roll forward into 2017/18. In addition there is £311,000 of unallocated funding for compliance works which will be rolled forward to support such works in 2017/18.

6.0 IMPLICATIONS OF THE CAPITAL ALLOCATION 2017/18

- 6.1 It is proposed to set a further one year programme for LA capital in line with the funding announcement.
- 6.2 A four year Basic Need programme to provide additional places needed by September 2019 is already in place having been approved by the Executive in May 2016. The demand for places remains volatile and the programme is under continuous review.

7.0 PRIORITIES FOR INVESTMENT

- 7.1 The Local Priority Statement which spells out the County Council's priorities for investment in schools was reviewed and approved by full council in February 2015 following consultation. A further review may be necessary in future to take account of the changing role of the local authority in education.
- 7.2 The key priorities contained within the revised statement are reflected in the proposed 2017/18 capital programme. They are:
- Providing new school places in areas of growth
 - Supporting school improvement through collaboration between schools and the restructuring of educational provision
 - Meeting key local service priorities or statutory service obligations
 - Enabling savings or efficiencies to be made in the use of property
 - Ensuring compliance with statutory and regulatory requirements
 - Maintaining the condition of the school estate to ensure buildings continue to be safe, warm, weather-tight and fit for their purpose.

8.0 PROPOSED SCHOOLS CONDITION CAPITAL PROGRAMME 2017/18

- 8.1 Appendix A provides a proposed capital programme for 2017/18. The following sections of the report provide the rationale for each element of the programme.

Capital Maintenance and Compliance\Regulatory Requirements:

General Compliance issues

- 8.2 Provision has been made in previous programmes to address priorities arising out of gas ventilation, technical fire risk, window glazing assessments and legionella. The gas ventilation programme is now complete. Window glazing and technical fire works programmes are complete with any future work to be undertaken by schools from devolved

resources. Legionella assessments are ongoing with any significant issues being incorporated into the planned maintenance contingency rather needing to form a separate programme.

- 8.3 A corporate strategy on Radon protection has been developed and is being implemented with monitoring underway in a number of schools in high risk areas at present. Mitigation works have been completed at a number of schools to reduce levels and further monitoring will be undertaken at the next batch of schools during the autumn term.
- 8.4 The County Council continues to help schools with issues emerging from Ofsted inspections around the health, safety and welfare of pupils, including boundary and internal security issues which may have a bearing on judgements around the safeguarding of children. With reduced DFC schools often have insufficient funding to address these issues themselves and look to the local authority for support. The costs of such adaptations can be significant. A number of such schemes were undertaken in programmes over the last three years. It is proposed that any further urgent schemes should be funded from compliance sums in-year if concerns arise.
- 8.5 It is proposed that the £311,000 of unallocated compliance funding from 2016/17 should form the budget for compliance in 2017/18.

Capital Planned Maintenance

- 8.6 The total maintenance backlog in schools across the County exceeds £28m (this has reduced due to investment in recent years and the number of schools converting to Academy). This reflects a national picture where a recent NAO report indicated a national backlog of £6.7bn. The DfE's evaluation in 2014 of relative condition need in schools showed that the County Council's school estate was in the highest category of need nationally. It is therefore important that investment continues to be made in maintaining the fabric of school buildings.
- 8.7 The continued low value of Devolved Formula Capital (DFC) impacts on the level of funding available from schools to contribute towards the Capital Planned Maintenance Programme which addresses structural issues such as roof and window replacements, mechanical and electrical upgrades etc. Since 2011/12 with the agreement of the Schools Forum/Education Partnership a flexible approach has been adopted whereby contributions by schools to these projects have been individually negotiated. In 2016/17 this approach was discontinued because of the resource required to negotiate individual contributions with large numbers of schools. Projects will now only be included in the programme on the understanding that schools will contribute all of their available DFC. In some cases this means that schools will be asked to fully fund maintenance projects that have been identified through condition surveys as a high priority rather than other projects they might have hoped to progress. On this basis an assumption has been made that £100k will be contributed by schools to the Capital Planned Maintenance budget in 2017/18, a lower level than in previous years.
- 8.8 Some schools still have large DFC balances, others are re-paying licensed deficits or loans. Account has been taken of this in putting

together a Capital Planned Maintenance programme to ensure that funding is maximised towards essential condition items.

- 8.9 A Capital Planned Maintenance budget of £5m (including fees and contingency) is proposed for schools and children's centres in 2017/18.
- 8.10 The one-year programme has been developed taking account of the highest priority condition items, as identified through the annual condition survey and discussions with schools, with the remainder as a contingency for urgent unplanned work which emerges mid-year. Once again this year it will contain a smaller number of larger value projects than in previous years as there are some schools which still have growing maintenance backlogs requiring significant investment.
- 8.11 Emergency replacement of boilers will be funded from within this contingency. Work to increase electrical capacity which emerges as a significant additional cost will need to be accommodated within project costs or prioritised from contingencies if necessary.
- 8.12 Appendix C (not for Publication) provides a list of the proposed schemes for inclusion in the 2017/18 programme. Because of the late announcement of the allocation advance planning has been undertaken once again this year to ensure that this programme can be commissioned and delivered in a timely fashion.

Condition and Asbestos Surveys

- 8.13 In previous years the County Council paid an annual fee to Jacobs and later Mouchel for undertaking condition and asbestos surveys, in line with the contract. These surveys help to inform investment priorities and are not replaced by the national Property Data Survey/Condition Data Collection which looks at higher level condition in order to inform central government capital allocations. The costs of this work were uplifted annually in line with inflation.
- 8.14 This work was brought back in-house in 2016. It has been provisionally agreed that CYPS will be recharged in 2017/18 on the same basis pending agreement of a permanent fee structure so it is proposed to make financial provision equivalent to the previous costs to fund this work in 2017/18 i.e. £380,000.

Asbestos Management

- 8.15 A large number of the County Council's school buildings contain asbestos which is managed in situ. New guidance has been issued for the management of asbestos in schools this year. As a result it is proposed to undertake some risk based assessment of asbestos to ensure school asbestos management plans are available. An allocation of £200,000 is proposed to allow these investigations to be undertaken.

Lath and Plaster Ceilings

- 8.16 Lath and Plaster ceilings are inspected and reviewed by Maintenance Surveyors as part of the annual condition data inspection, with condition being recorded on the survey. Further inspection and investigations are

undertaken if condition causes concern. We continue to address failing ceilings and some are programmed in 17/18. This strategy has been agreed between the property Risk Manager and the Investments and Delivery Property Maintenance Officers.

Planning Advice

- 8.17 It has been agreed corporately that departments which seek pre application planning advice from NYCC planning or other associated services will contribute a fixed amount towards these services so that they may remain viable. This was previously funded by the services themselves with no recharge to Directorates. It is anticipated that CYPs will be asked to contribute £190,000 in 2017/18 towards this service. This is additional to the fee paid for planning applications which is met from project budgets.

Accessibility Related Works

- 8.18 Schools Access Initiative funding was withdrawn in 2011/12. It is necessary to continue to make provision for some accessibility work in the programme to address the needs of individual children and for any significant access related work that emerges from other developments. In addition to a carry forward of £120,889 it is proposed to set aside an additional block sum of £165k from the 2017/18 allocation for such projects. Details of these schemes are included in Appendix B (Not for publication).

Proposed Capital Investment – Strategic Priorities:

- 8.19 A number of strategic priorities are proposed as described in the following sections. The total value of these strategic priority investments is circa £4.7m. Further detail is included in Appendix B. It will not be possible to include all of the projects which schools have asked the local authority to support. Remaining bids will be reconsidered in future years.

School Modernisation

- 8.20 It is proposed to undertake a further programme of strategic priorities to bring teaching accommodation at a number of schools up to modern standards. The 17/18 programme will prioritise further investment for the Post 16 Centre at King James School and the consolidation of accommodation at Graham School. Both projects match the key priorities described at 7.2 of this report.
- 8.21 At King James School there are a number of suitability, maintenance and health and safety issues with the current Sixth Form building. The study area is not large enough to meet demand from students and many rooms are too small to accommodate full classes. The general condition of the building is unsatisfactory, in particular the roof. It is proposed to demolish the existing building and provide new accommodation with high quality education, study and breakout areas.
- 8.22 At Graham School the school has been occupying two sites for a number of years since the amalgamation of the former Graham and Raincliffe Schools. A decline in the school roll to almost 50% surplus capacity has

created considerable budgetary pressure at the school. The Graham School governors have taken the decision, after discussion with the Local Authority, to rationalise their activity onto one site to aid their school improvement journey. Although the Upper School site is technically large enough to accommodate the current total school role, the Local Authority is proposing to fund a new and permanent general teaching block. This project is at an early feasibility stage and a potential investment of up to £2m is envisaged. It would involve the replacement of 4 prefabricated classroom units which are in poor condition, and provide a further 4 classrooms to aid school improvement.

Replacement of Prefabricated Classroom Units

- 8.23 A review of the condition of Prefabricated /Portable Classroom Units has been undertaken this year to determine whether there are any which are beyond economic repair or at risk of becoming unsafe. Where the school has no further use for them in the long term they are removed or demolished to reduce the school's maintenance liabilities. The local authority will continue to support this wherever possible.
- 8.24 Where new or replacement teaching accommodation is required this will be with permanent buildings wherever viable although in some cases it will be necessary to consider portable or modular solutions for cost or technical reasons. Portable solutions will also be provided where accommodation is genuinely temporary. These would be to a specification which is energy efficient, meets all current building regulations and provides a pleasant environment for teaching and learning. Schools are giving good feedback about the quality of recent portable and modular teaching spaces. It is proposed to replace a number of temporary classrooms in this year's modernisation programme.
- 8.25 Previous school modernisation programmes have included the replacement of HORSAs buildings. The condition of such buildings is monitored and buildings maintained but none are scheduled for replacement this year.

Development of Future Programme – Advanced Feasibility

- 8.26 It is proposed to make a provision of £100,000 in 2017/18 for advance feasibility work required as part of the early development of projects for the capital programme in 2018/19. It is hoped this will comprise of approximately 15-20 feasibility studies depending on their individual complexity. The purpose of this is to provide greater cost certainty moving forward. The advanced feasibilities will include some of the bids received from schools.

Strategic Support for Capital Delivery

- 8.27 The capital programme and individual projects within it are commissioned and sponsored by CYPS Strategic Planning staff but following restructuring of the property function they are now delivered by staff working within the corporate Property Service. It is proposed to continue funding one Band 15 post, now within the Infrastructure and Delivery Team. This post provides support for the delivery of the CYPS planned maintenance programme and other parts of the CYPS capital programme.

Monitoring arrangements will be in place by the Property Service to record the work undertaken in respect of individual schemes.

General Contingency

- 8.28 It is necessary to retain an element of client contingency within the programme to ensure that funding is available where unforeseen additional costs arise as schemes develop through feasibility and into detailed design and procurement. There is an element of contingency included within the proposed capital planned maintenance programme to meet unforeseen emergency work which emerges during the year relating to asbestos or other urgent and unplanned infrastructure requirements. The general contingency, will address any additional costs associated with 2016/17 schemes yet to be completed as well as those in the proposed 17/18 programme. The value of the proposed contingency is £1,360,846.

9.0 DELIVERY OF PROGRAMME

- 9.1 CYPS will commission the programme via the Corporate Property Service's Investment and Delivery Team in line with new corporate arrangements. The Property Service will be responsible for directly delivering and/or procuring via the newly formed North Yorkshire Property Company the required technical and professional advice and for procuring schemes from the County Council's framework contractors.

10.0 RECOMMENDATIONS

It is recommended that Executive:

- a) Approve the proposed schools capital programme for 2017/18 as summarised in Appendix A;
- b) Agree the approach for dealing with any schools which convert following the approval of the programme as laid out in paragraph 3.3.

PETE DWYER
Corporate Director – Children and Young People's Service

COUNTY HALL
NORTHALLERTON

23 May 2017
Author of report – Andrew Dixon, Strategic Planning Manager

Appendix A

Proposed School Condition Capital Programme 2017/18

	Amount (£)
Potential Capital Funding Available:	
Carry forward from 16/17 (general compliance, H&S, general contingency)	321,000
Accessibility Schemes 16/17 carry forward	120,889
School Condition Allocation 17/18	11,940,000
Estimated contribution from School's DFC	100,000
Total Potential Capital Funding Available	12,481,889
Capital Maintenance and Compliance/Regulatory Requirements:	
General Compliance and Health & Safety	311,000
Capital Planned Maintenance Programme	5,000,000
Condition Survey & Asbestos Surveys – internal fee	380,000
Asbestos Inspections	200,000
Pre-application Planning Advice – internal fee	190,000
Accessibility Schemes	285,889
Total Capital Maintenance and Compliance/Regulatory Requirements	6,366,889
Proposed Capital Investment – Strategic Priorities:	
School Modernisation - King James School Post 16 Centre	903,554
School Modernisation - Graham School consolidation	2,000,000
Prefabricated Classroom Replacement Programme	1,700,000
Advanced Feasibility	100,000
Total Proposed Capital Investment - Strategic Priorities	4,703,554
Strategic Support for Capital Projects (Band 15 post) – Investment and Delivery Team	50,600
Total Proposed Investment	11,121,043
General Contingency	1,360,846